

## Overview of Technical Assistance and Enforcement Actions for Each Determination Level

| LEVEL   | Program Review Activities   | Technical Assistance (TA)  | Improvement Activities  | Federally-mandated Enforcement Action(s)   |
|---|---|--|---|--|
| <b>(1) MEETS REQUIREMENTS (MR)</b>              | No changes to program review schedule.  | District may receive technical assistance (TA) on general areas of need, if necessary.   | District may receive recommendations for improvement in identified area(s) of need, if necessary.   | There are no federally-mandated enforcement actions for districts that Meet Requirements.  |
| <b>(2) NEEDS ASSISTANCE (NA)</b>                | No changes to program review schedule.  | On-site technical assistance from OSPI if district is on the schedule for an on-site program review visit in the year an NA determination was issued. If not visited that year, district may receive technical assistance call and/or resources in area(s) where it did not meet requirements. Sources of technical assistance will include the local ESD, and may include federal resource centers, advice from experts, distinguished professionals, collaboration with colleges/ universities, etc. The district may be required by OSPI to work with a specific entity for technical assistance &/or improvement planning. | District may receive recommendations for improvement in certain areas of need. Informational resources will be made available to districts that are in NA, including electronic resource communities, publications, national informational and technical assistance centers, etc., to assist with improvement activities. | Districts identified in this level <u>for two consecutive years</u> (" <b>NA2</b> ") may be required to work with a specific entity for technical assistance, and/or conditions may be imposed on the district's use of IDEA Part B funds. Note: Determinations issued in November 2009 were considered to be year one.  |
| <b>(3) NEEDS INTERVENTION (NI)</b>              | District may receive priority for a focused program review. This may result in an on-site visit and/or an in-depth, district-completed self-study.  | District will receive recommendations for improvement in any area that was considered NA (in the case there are areas rated as NA). District will receive TA resources for improvement (see TA listed under Needs Assistance above).   | District may be required to prepare and implement a plan of improvement and/or corrective action. OSPI will provide advice or assistance in defining strategies toward moving from one status to another. OSPI may partner districts to form mentor relationships for districts in need of intervention.                  | Districts identified as Needs Intervention <u>for three consecutive years</u> (" <b>NI3</b> ") will be required to implement a corrective action plan and/or compliance agreement with specified timelines for correction, and/or further Part B fund payments may be withheld, in whole or in part. 34 CFR 300.604 (b)(2)(v) Note: Determinations issued in November 2009 were considered to be year one. |
| <b>(4) NEEDS SUBSTANTIAL INTERVENTION (NSI)</b> | District will receive a focused program review of the issues that resulted in this determination. May lead to a comprehensive review of all federal programs, as well as general education. | District will receive recommendations for improvement in any area that was considered NA or NI (in the case there are areas rated as NA or NI apart from the NSI area(s)). District will receive TA resources for improvement (see TA listed under Needs Assistance above).  | District will be required to develop and implement corrective action plan. OSPI may partner districts to form mentor relationships for districts in need of substantial intervention.   | Districts will be required to implement a corrective action plan and/or compliance agreement. If, <u>at any time</u> , a district is determined to Need Substantial Intervention, further Part B payments will be withheld or recovered, in whole or in part. 34 CFR 300.604 (b)(2)(v) Note: This enforcement action will begin with the determinations issued in November 2009.                           |